



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 6, 2003.

*David H. Brinkman* 6/6/03  
David H. Brinkman, Reg. No. 40,532 Date

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Technology Center 2100

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Carl N. Baron  
Serial No.: 09/886,895  
Filed: June 21, 2001  
Examiner: Unknown  
Group Art Unit: 2152  
Confirmation No.: 6116  
Title: **METHOD AND APPARATUS FOR REGULATING NETWORK  
ACCESS TO FUNCTIONS OF A CONTROLLER**  
Our Ref.: NOR-1006

Cincinnati, Ohio 45202

June 6, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. A copy of the cited references are enclosed herewith.

**Certification under C.F.R. § 1.97( )(2)**

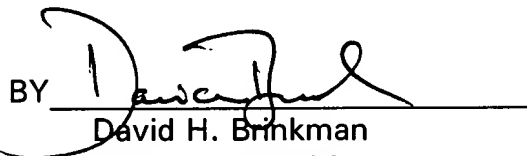
No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. Applicant has included U.S. Patent No. 6,311,101 which has been identified as a counterpart to EP Application No. EP0917034A1.

The Examiner is urged to consider the cited document and to make an independent evaluation of the teachings and materiality of it.

Applicant does not believe that this response requires that any fees be submitted, however, if any fees are deemed necessary, these may be charged to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY   
David H. Brinkman  
Reg. No. 40,532

2700 Carew Tower  
441 Vine Street  
Cincinnati, OH 45202  
(513) 241-2324 - Voice  
(513) 421-7269 - Facsimile

SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
NOR/1006SERIAL NO.  
09/886,895SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Carl N. Baron

(Use several sheets if necessary)

FILING DATE  
June 21, 2001GROUP  
2152

(37 CFR 1.98(b))

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	5	6	9	6	8	9	8	12/1997	Baker et al.	395	187.01	06/1995
	A.B	6	3	1	1	1	0	1	10/2001	Kastner	700	197	11/1998
	A.C												
	A.D												
	A.E												
	A.F												
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

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## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L	EP 0847008A2	06/1998	EPO	G06F	9/445	Yes
	A.M	EP 0917034A1	05/1999	EPO	G05B	19/418	Yes (U.S. Patent No. 6,311,101)
	A.N	WO 00/04427	01/2000	WIPO	G05B	15/00	Yes
	A.O	WO 01/22177A1	03/2001	WIPO	G05B	15/00	Yes
	A.P	WO 01/25859A1	04/2001	Japan	G05B	9/02	No
	A.Q	WO 98/53581	11/1998	WIPO	H04L	29/06	Yes

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	European Patent Office, <u>International Search Report</u> , 05/23/03 (4 pages)
	A.S	
	A.T	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.  
Include a copy of this form with next communication to applicant.